

10-01-01

ATTORNEY DOCKET NO.: EMC01-08(01043)

A

J1033 U.S. PTO
09/28/01

J1033 U.S. PTO
09/28/01
52996675

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Morrie Gasser and Clifford E. Kahn
Serial No.: Unassigned
For: METHODS AND APPARATUS FOR REPRESENTING RESOURCES IN
A COMPUTING SYSTEM ENVIRONMENT
Filing Date: September 28, 2001
Examiner: Unassigned
Art Unit: Unassigned

UTILITY PATENT APPLICATION TRANSMITTAL

Certificate of Mailing Under 37 C.F.R. 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: **BOX PATENT APPLICATION**, Assistant Commissioner for Patents, Washington, DC 20231 on:

Date: September 28, 2001

By: Crystal Slason
(Typed or printed name of person mailing Document, whose signature appears below)

Express Mail Mailing
Label No. EF384081199US

Signature: *Crystal Slason*

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing is a patent application entitled:

**METHODS AND APPARATUS FOR REPRESENTING RESOURCES
IN A COMPUTING SYSTEM ENVIRONMENT**

09966475 092801

Named Inventor(s) / Inventor Address(es) / Inventor Citizenship(s):

Morrie Gasser
28 South Mill Street
Hopkinton, Massachusetts 01748

Citizenship: United States of America

Clifford E. Kahn
173 Cedar Street
Lexington, Massachusetts 02421

Citizenship: United States of America

Enclosed is/are:

- [x] Transmittal Letter including fee calculations (this form, 4 pages, in duplicate), Total Pages: 8;
- [x] Utility Patent Application Specification including Title of the Invention, Background of the Invention, Summary of the Invention, Brief Description of the Drawings, Detailed Description; 34 Claims (4 Independent, 30 dependent), Abstract of the Disclosure, Total Pages: 53;
- [x] Drawings: [x] Formal, [] Informal (Figs: 1, 2, 3, 4, 5, 6, 7), Total Sheets: 7;
- [x] Newly Executed Oath/Declaration/Power Of Attorney, Total Pages: 3;
- [x] REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i); Total Pages: 1;
- [x] Assignment Papers (Assignment Recordation Cover Sheet 1 page, Copy of Assignment Document 3 pages), Total Pages: 4;
- [x] Information Disclosure Statement, Total Pages: 3;
- [x] PTO 1449 Form, Total Pages: 1;
- [x] Total Enclosed References: 2;
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;
- [x] Authorization to Charge Deposit Account No. 50-0901, if Required;
- [x] Check in the amount of: \$1,082.00, with fee amounts calculated as follows:

090945090909

CLAIMS	Number Filed	Number Extra	Rate	Calculations
Total Claims	34-20 =	14	x \$18	\$ 252.00
Independent Claims	4-3 =	1	x \$80	\$ 80.00
Multiple Independent Claims			+ 260 =	\$ 0.00
BASIC FILING FEE =				\$ 710.00
Total of Above Calculations =				\$1,042.00
Assignment Recordation Fee =				\$ 40.00
TOTAL FEE FOR THIS PATENT APPLICATION =				\$1,082.00

Please direct all telephone calls and address all correspondence to:

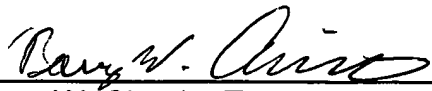
Barry W. Chapin, Esq.
 Attorney for Applicants
 USPTO Registration No. 39,934
 CHAPIN & HUANG, L.L.C.
 Westborough Office Park
 1700 West Park Drive
 Westborough, Massachusetts 01581
 United States of America
 Telephone: (508) 366-9600
 Facsimile: (508) 616-9805

If the enclosed fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 50-0901.

FOR "5249660"

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

By: 
Barry W. Chapin, Esq.
Attorney for Applicants
U.S.P.T.O. Registration No.: 39,934
CHAPIN & HUANG, L.L.C.
Westborough Office Park
1700 West Park Drive
Westborough, Massachusetts 01581
United States of America
Telephone: (508) 366-9600
Facsimile: (508) 616-9805

Attorney Docket No.: EMC01-08(01043)

Dated: September 28, 2001

5249560

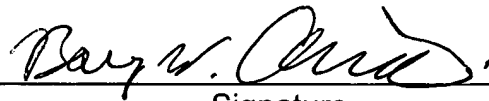
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Morrie Gasser
	Title	MEHTODS AND APPARATUS FOR REPRESENTING RESOURCES IN A COMPUTING SYSTEM ENVIRONMENT
	Atty. Docket Number	EMC01-08(01043)

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 28, 2001

Date



Signature

Barry W. Chapin

Type or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 35 U.S.C. 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.

Patent Application
Chapin & Huang/BWC
May 18, 2001

Attorney Docket No.: EMC01-08(01043)

5

-1-

Certificate of Mailing Under 37 C.F.R. 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: **BOX PATENT APPLICATION**, Assistant Commissioner for Patents, Washington, DC 20231 on:

Date: September 28, 2001 Express Mailing Label No.: EF384081199US

Signature: *Crystal Slason*

Typed or Printed Name: Crystal Slason

10

Inventors: Morrie Gasser and Clifford E. Kahn

Attorney Docket No.: EMC01-08(01043)

METHODS AND APPARATUS FOR REPRESENTING RESOURCES IN A
COMPUTING SYSTEM ENVIRONMENT

15

RELATED APPLICATIONS INCORPORATED BY REFERENCE

The present invention relates to co-pending United States Patent Application entitled "METHODS AND APPARATUS FOR GRAPHICALLY MANAGING RESOURCES", having Attorney Docket No. EMC01-07(01042), filed on the same day
20 as the present Application. The present invention also relates to co-pending United States Patent Application Serial No. 09/547,510 entitled "METHODS AND APPARATUS FOR PRESENTING INFORMATION TO A USER OF A COMPUTER SYSTEM", having Attorney Docket No. EMC00-02(00012), filed on April 12, 2000. These related Patent Applications are assigned to the assignee of the present invention. The entire
25 teachings and contents of these reference Patent Applications are hereby incorporated by reference in their entirety.

FOR 260" 5249650